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PATENT

ATTORNEY DOCKET NO. 041514-5149

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhisa ABE et al.

Application No.: 09/973,073

Filed: October 10, 2001

For: SPEAKER DIAPHRAGM

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 2837

Examiner: Unassigned

SUBMISSION OF FORMAL DRAWINGS

Filed herewith are two (2) sheets of formal patent drawings containing three (3) figures. It is requested that these drawings be approved and made part of the official record in the above-identified patent application.

If there are any fees due in connection with the filing of these drawings, please charge the fees to our Deposit Account No. 50-0310. If a fee is required for an extension of time under 37 C.F.R. 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Customer No. 009629

Dated: January 4, 2002

MORGAN, LEWIS AND BOCKIUS LLP 1800 M STREET, N.W.

WASHINGTON, D.C. 22036-5869

(202)467-7000

John G. Smith

Reg No. 33,818

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ATTORNEY DOCKET NO.: 041514-5149 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yasuhisa ABE et al. Application No.: 09/973,073 Group Art Unit: 2837 Examiner: Unassigned Filed: October 10, 2001 For: SPEAKER DIAPHRAGM Commissioner of Patents **BOX MISSING PARTS** Washington, D.C. 20231 RESPONSE TO NOTICE TO FILE MISSING PARTS 1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed November 8, 2001. A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed. 2. Declaration Or Oath No declaration or oath was filed. Enclosed is the original Combined Declaration and \boxtimes Power of Attorney. The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date. The declaration or oath which was filed was determined to be defective. A new П original Combined Declaration and Power of Attorney is attached. English Translation of Non-English Language Papers 3. Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO. Also enclosed is the Verification of Translation Document. 4. **Small Entity Status** Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Extension of Time

The proceedings herein are	for a patent application	n and the provisions of 37 C.F.R. § 1.136(a) apply.				
Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:						
Total months requested	Fee for extension	[fee for Small Entity]				
one month	\$ 110.00	\$ 55.00				
two months	\$ 400.00	\$200.00				
three months	\$ 920.00	\$460.00				
four months	\$1,440.00	\$720.00				
five months	\$1,960.00	\$980.00				

If an additional extension of time is required, please consider this a Petition therefor.

Extension of time fee due with this request: \$_____

Applicants believe that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicants hereby petition for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

An assignment of the invention to <u>Pioneer Corporation and Tohoku Pioneer Corporation</u> and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

					Fee Code
			Basic Fee	\$740.00	101/201
	Number Filed	Number Extra	at a Rate of		
			(Lg./Sm.Entity)		
Total Claims	18 - 20 =		\$18/\$9 each=	\$	103/203
Independent Claims	1 - 3 =		\$84/\$42 each=	\$	101/202
Multiple dependent claim(s), if any			\$280/\$140	\$	104/204
			SUB-TOTAL =	\$740.00	
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e)			\$130/\$65	\$130.00	105/205
			110/\$55 (1 Month)		115/215
			0/\$200 (2 Months)		116/216
\$92			0/\$460 (3 Months)		117/217
			0/\$720 (4 Months)		118/218
		\$196	0/\$980 (5 Months)	\$	128/228
			SUB-TOTAL =	\$870.00	
Fee For Application Filed	With A Non-Englis	sh Specification (3'	7		
C.F.R. § 1.17(i) and § 1.52(d)			\$130.00	\$	139
Assignment Recordation Fee			\$ 40.00	\$40.00	581
TOTAL FILING FEE =				\$910.00	

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8.	Fee Payment			
	\boxtimes	Enclosed is a check in the amount of \$910.00 representing the total fee due.		
		The Commissioner is hereby authorized to charge the total fee due to Deposit Account 50-0310.		
	\boxtimes	Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.		
9.	Additi	Preliminary Amendment Submission of Formal Drawings with 2 sheets of drawings containing 3 figures Claim for Priority Certified copy of priority document: Japanese Application No. 2000-322787 filed October 23, 2000 Information Disclosure Statement Form PTO-1449, references as listed Declaration of Biological Deposit Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. Other:		
		Respectfully submitted,		
		MORGAN, LEWIS & BOCKIUS LLP		

John & Smith Reg. No. 33,818

Date: January 7, 2002

CUSTOMER NO. 09629 MORGAN, LEWIS & BOCKIUS LLP 1800 M Street, N.W. Washington, D.C. 20036 Telephone: (202) 467-7000 Facsimile: (202) 467-7176

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WWW.USDIO.000

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/973,073

10/10/2001

Yasuhisa Abe

041514-5149

CONFIRMATION NO. 4681

Date Mailed: 11/08/2001

FORMALITIES LETTER

OC000000007043674

009629 MORGAN, LEWIS & BOCKIUS 1800 M STREET NW WASHINGTON, DC 20036-5869

IN O TRACEMENT

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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